Electronic Acknowledgement Receipt				
EFS ID:	1434296			
Application Number:	09955394			
International Application Number:				
Confirmation Number:	1548			
Title of Invention:	Enhancing utility and diversifying model risk in a portfolio optimization framework			
First Named Inventor/Applicant Name:	Jason S. Scott			
Correspondence Address:	Michael A. DeSanctis  BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  7th Floor  12400 Wilshire Boulevard  Los Angeles CA 90025  US 3037401980  -			
Filer:	Michael A. DeSanctis/Ariana Alvarez			
Filer Authorized By:	Michael A. DeSanctis			
Attorney Docket Number:	02821.P004X			
Receipt Date:	11-JAN-2007			
Filing Date:	17-SEP-2001			
Time Stamp:	22:31:42			
Application Type:	Utility			
Payment information:				

no

## File Listing:

Submitted with Payment

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part /.zip	Pages (if appl.)		
1		Response_Restriction_Requi rement.pdf	41279	yes	4		
	Multipart Description/PDF files in .zip description						
	Document Description		Start	End			
	Response to Election / Restriction Filed		1	1			
	Applicant Arguments/Remarks Made in an Amendment		2	4			
Warnings:							
Information:							
2	Power of Attorney	POA.pdf	424947	no	1		
Warnings:							
Information:							
3	Assignee showing of ownership per 37 CFR 3.73(b).	Statementpdf	215159	no	1		
Warnings:							
Information:							
	Total Files Size (in bytes): 681385						

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

## New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

## National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.